PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10598009	
INFORMATION BIOOL COURT	Filing Date		2006-08-15	
INFORMATION DISCLOSURE	First Named Inventor	Masa	ato Tsuboi	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under or of it 1.00)	Examiner Name			
	Attorney Docket Number		018842.1502	

Examiner Initial* Cite Initial* Cite Initial* Cite Initial* Code* Issue Date Initial* Name of Patentee or Applicant of cited Document Passages or Relevant P						U.S.F	PATENTS			Remove		
If you wish to add additional U.S. Patent citation information please click the Add button. Substitute			Patent Number	1	Issue D)ate			Releva	nt Passa		
U.S.PATENT APPLICATION PUBLICATIONS Remove Examiner Initial* 1		1	5315841	A	1994-05	i-31	Inoue		Japanes	se Patent I		
Examiner Initial*	If you wish	n to ac	dd additional U.S. Pate	nt citatio	n inform	ation pl	ease click the	Add button.		Add		
Publication Number Cite No Publication Number Code1 Date Date Name of Patentee of Application Relevant Passages or Relevant Figures Appear				U.S.P	ATENT	APPLIC	CATION PUB	LICATIONS		Remove		
If you wish to add additional U.S. Published Application citation information please click the Add button. Foreign Document Number3 Code2			Publication Number	1					Releva	nt Passa		
Examiner Initial* Cite No Number3 Foreign Document Number3 Country Code2 i Code4 Publication Date Name of Patentee or Applicant of cited Document Passages or Relevant Passages or Relevant Figures Appear Abstract; concise explanation of relevance in previously submitted Int'l Search Report 2 2003211953 JP A 2003-07-30 Sanden Corp Remove Pages,Columns,Lines where Relevant Passages or Relevant Pass		1	20030136138	030136138 A1 2003-07-24		-24	Tsuboi et al.		Japanese Patent Publication No.			
Examiner Initial* Cite Foreign Document Code2	If you wisl	n to ac	dd additional U.S. Publ	ished Ap	plication	citation	n information p	olease click the Add	d button	Add		
Examiner Initial* Cite No Number3 Country Code2 i Code4 Publication Date Publication Date Name of Patentee or Applicant of cited Document Passages or Relevant Figures Appear Abstract; concise explanation of relevance in previously submitted Int'l Search Report Abstract; concise explanation of relevance in previously submitted Int'l Search Report Abstract; concise explanation of relevance in previously submitted Int'l Search Report Abstract; concise explanation of relevance in previously submitted Int'l Search Report					FOREIG	SN PAT	ENT DOCUM	ENTS		Remove		
1 H05-270255 JP A 1993-10-19 Sanden Corp explanation of relevance in previously submitted Int'l Search Report 2 2003211953 JP A 2003-07-30 Sanden Corp Abstract; concise explanation of relevance in previously submitted Int'l Search Report								Applicant of cited	e or V	vhere Rel Passages	evant or Relevant	T5
2 2003211953 JP A 2003-07-30 Sanden Corp explanation of relevance in previously submitted		1	H05-270255	JP		А	1993-10-19	Sanden Corp	e ir	xplanation previousl	of relevance y submitted	
		2	2003211953	JP		А	2003-07-30	Sanden Corp	e ir	xplanation previousl	of relevance y submitted	
				NON	I-PATEN	NT LITE	RATURE DO	CUMENTS		Remove		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10598009
Filing Date		2006-08-15
First Named Inventor	Masa	to Tsuboi
Art Unit		
Examiner Name		
Attorney Docket Number		018842.1502

Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							
	1						
If you wis	h to ac	d additional non-patent literature document citation information please click the Add button Add					
		EXAMINER SIGNATURE					
Examiner Signature Date Considered							
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here it English language translation is attached.							

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10598009
Filing Date		2006-08-15
First Named Inventor Masat		to Tsuboi
Art Unit		
Examiner Name		
Attorney Docket Number		018842.1502

		CERTIFICATION	SIAIEMENI				
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):				
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR	1						
	foreign patent o after making rea any individual d	information contained in the information diffice in a counterpart foreign application, are sonable inquiry, no item of information contestionated in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	nd, to the knowledge of tha ined in the information di	ne person signing the certification sclosure statement was known to			
	See attached ce	rtification statement.					
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	h.				
×	None						
	ignature of the ap	SIGNA oplicant or representative is required in accordance.		18. Please see CFR 1.4(d) for the			
Sigr	nature	re /James B. Arpin/ Date (YYYY-M		2006-11-15			
Nan	ne/Print	James B. Arpin	Registration Number	33470			
This	s collection of info	rmation is required by 37 CFR 1.97 and 1.98	The information is requi	red to obtain or retain a benefit by the			

public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria,**

VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.